



PATENT

(Practitioner's Docket No. IN-5495)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Stephan SCHWARTE

U.S. Serial No.: 09/869,257

Filed: June 26, 2001

Group Art Unit: 1714

Examiner: Niland, Patrick Dennis

For: POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC

Patent*: Issue Date
Reexamination No.: Issue Date
Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
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(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [18-16]-page1)



1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF COATINGS AG

2. _____
 Name of assignee
 CORPORATION

 Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(S) AUTHORIZED TO SIGN

3. Michael F. Morgan, 42,906
 (type name of person authorized to sign on behalf of assignee)
Attorney
 Title of person authorized to sign
 3a. Anne Gerry Sabourin, 33,772
 (type name of person authorized to sign on behalf of assignee)
Assistant General Counsel
 Title of person authorized to sign

(complete the following, if applicable)

- ☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

- A.
- ☒ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at
 Reel 012053 Frame 0386
 - ☐ An assignment (document) separately being submitted for recordal herewith.

AND/OR

- B.
- ☐ A chain of title from the inventor(s) to the current assignee as shown below:
 1. From: _____
 Name of inventor(s)
 To: _____

Recorded in PTO:
 Reel _____ Frame _____

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]—page 2)



(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 42,906


SIGNATURE OF ATTORNEY

Michael F. Morgan August 26, 2003
Type or print name of attorney

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P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]--page 3)